

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of:

TODD O. BOLKEN  
CHAD A. COBBLEY

Serial No.:

Filing Date: August 27, 2003

Examiner:

Division of Serial No. 10/436,584  
filed 5/12/2003

Art Unit:

For: METHOD FOR FABRICATING SEMICONDUCTOR  
COMPONENT HAVING STACKED, ENCAPSULATED  
DICE (as amended)

Attorney Docket No. 03-0040.1

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**INFORMATION DISCLOSURE STATEMENT**  
**August 27, 2003**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The following sections are being submitted for this  
Information Disclosure Statement:

1.   x   Preliminary Statements.
2.   x   FORM PTO SB/08
3.        Statement As to Information Material to  
Examination Not Found in Patents or Publications.
4.   x   Identification of Prior Application in Which Listed  
Information Was Already Cited and For Which No Copies  
are Submitted Or Need be Submitted.
5.        Cumulative Patents or Publications.
6.        Copies of Listed Information Items Accompanying  
This Statement.

7. \_\_\_\_\_ Concise Explanation of Non-English Language Listed Information Items.
8. \_\_\_\_\_ Translation(s) of Non-English Language Documents.
9.   x   Identification of Person(s) Making This Information Disclosure Statement.

### **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has made (37 C.F.R. 1.56 (g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

### **Section 2. Identification of Person(s) Making This INFORMATION DISCLOSURE STATEMENT**

The person making this statement is

- (a) \_\_\_\_\_ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
Name of Inventor

- (b)   x   the attorney who signs below on the basis of:

- \_\_\_\_\_ the information supplied by the inventor(s)
- \_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
- x   the information in the attorney's file.

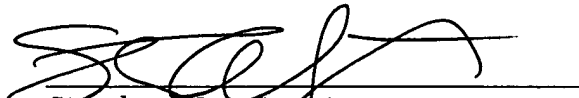
### **Section 3. Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted or Needed Be Submitted.**

This application relies, under 35 U.S.C. 120, on the earlier filing date of application serial no. 10/436,584 filed on May 12, 2003.

The attached list of references were submitted to and/or cited by the Office in the prior application and, therefore, are not required to be provided in this application pursuant to 37 CFR §1.98(d).

DATED this 27th day of August, 2003.

Respectfully submitted:

  
\_\_\_\_\_  
Stephen A. Gratton  
Registration No. 28,418  
Attorney for Applicants

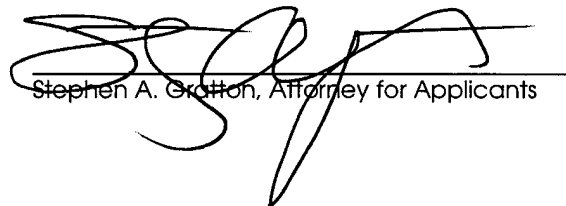
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Date of Signature

  
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Stephen A. Gratton, Attorney for Applicants

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet 1 of 1

Application Number	
Filing Date	AUGUST 27, 2003
First Named Inventor	TODD O. BOLKEN
Art Unit	
Examiner Name	
Attorney Docket Number	03-0040.1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	US- 5,973,396	10/26/1999	Farnworth	
	B	US- 6,013,948	01/11/2000	Akram et al.	
	C	US- 6,020,629	02/01/2000	Farnworth et al.	
	D	US- 6,051,886	04/18/2000	Fogal et al.	
	E	US- 6,235,554 B1	05/22/2001	Akram et al.	
	F	US- 6,303,981 B1	10/16/2001	Moden	
	G	US- 6,331,453 B1	12/18/2001	Bolken et al.	
	H	US- 6,399,416 B1	06/04/2002	Wark	
	I	US- 6,407,456 B1	06/18/2002	Ball	
	J	US- 6,451,624 B1	09/17/2002	Farnworth et al.	
	K	US- 6,489,678 B1	12/03/2002	Joshi	
	L	US- 6,501,165 B1	12/31/2002	Farnworth et al.	
	M	US- 6,506,625 B1	01/14/2003	Moden	
	N	US- 6,518,186 B1	02/11/2003	Wensel	
	O	US- 6,531,338 B2	03/11/2003	Akram et al.	
	P	US- 6,531,763 B1	03/11/2003	Bolken et al.	
	Q	US- 6,531,766 B1	03/11/2003	Taniguchi et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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